Basics and applications in nanolithography

E-beam lithography

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CRESTEC Corp.

OUTLINE

- Presentation.
- E-beam lithography system basics.
- E-beam lithography technic basics.
- Parameters to be chosen.
- Application examples
- New developments in e-beam lithography

CRESTEC CORP. Establishment February 10, 1995 Head Office I-9-2, Owada-machi, Hachioji-shi, Tokyo 192-0045, Japan. http://www.crestec8.co.jp/ david.lopezromero@crestec8.co.jp CRESTEC-UPM agreement, technical support EU. Beam point e-beam lithography systems: CABL-UH: Ultrahigh Resolution 130 kV CABL9000C: High Resolution 50 kV Development of new product:

Surface Emission EB Lithography System Maskless Massively Parallel EB Lithography System

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Installation and environment control



System block diagram





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Interferometer stage and evacuation system

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Vector & raster scans :

In vector mode the beam is deflected only over the entities to be exposed. In raster scan the beam scans at constant speed, while turning on/off the beam according to the presence absence of pattern.

Vector



Raster



Pros and cons of e-beam lithography:

* Resolution ≤ 10 nm
 Spot size ≤ 5 nm.
 ⇒ λe(50 keV)=5.5 pm.
 * Mask less lithography

* Pixel by pixel exposure
* Stitching accuracy
Iow throughput
* Aberrations is distortion & Stig.
* Proximity effect (PE) and charging effect.
* Beam stability.



How do manufactures overcome stitching, aberrations and PE to get theoretical resolution?

Stitching accuracy



Three main factor are corrected electronically via electrostatic deflectors. Field size, rotation and right angle are adjusted using internal marks.

Stitching accuracy

The Other Error Factors

- Field Distortion 4.
- 5. Beam Position Drift
- 6. Surface Height of Wafer
- 7. Local Field Rotation due to Stage Position Shift



Pincushion distortion field (Stitching error is occurred.)



After distortion correction, real square field is realized.

(Accurate stitching is accomplished.)

Field distortion

correction



Field distortion correction, Also provide high accuracy within the field.

Height sensor control maintains constant working distance

Stigmatism as cause non-uniformity beam size and shape, leading a lack of uniformity in the exposure within the field:



Stigmatism and distortion coming from electron-optical lenses asymmetries, once the correction is taken for a given e-beam current, it is independent of sample, resist...

600 μm field uniformity:



Proximity effect

Interactions of electrons with matter Energy and spatial distributions. Several eV needed for resist exposure.





Energy spectrum of signal electrons (Reimer, 1998) consisting of Secondary Electrons (SE), Back-Scattered Electrons (BSE) and Auger Electrons (AE).

Proximity effect

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* Secondary electron
scatter small angles
(inelastic), responsible of
resist exposure, e⁻- e⁻
interactions.
* Backscattered electrons
scatter big angles (elastic)
by nucleus.

Properties of Electrons, their Interactions with Matter and Applications in Electron Microscopy Frank Krumeich Laboratory of Inorganic Chemistry, ETH Zurich, HCI-HIII, CH-8093 Zurich

Proximity effect

Forward and back scattering:



Forward scattering is responsible of resist exposure and broadening theoretical line width. Back scattering is responsible of proximity effect, when electrons arise again into the resist and cause subsequent inelastic exposure far from incident beam.

Proximity effect



Interaction volumes of the incident electron beam (blue) in compact samples (grey) depending on electron energy and atomic number Z. The trajectories of some electrons are marked by yellow lines.

Scattering probability varies as square of atomic number Z, and inversely as the incident kinetic energy.

High-energy Electron Beam Lithography for Nanoscale Fabrication

Cen Shawn Wu I, Yoshiyuki Makiuchi2 and ChiiDong Chen3 IDepartment of physics, National Changhua University of Education.²¹

Proximity effect

Practical conclusions I:

As the beam energy increases, the forward scattering is reduced and the back scattering area gets deeper and wider, leading a smaller PE and a lower resist sensitivity.



In addition, substrates made of light nuclei will reduce backscattering. On substrates with 'heavy' films, such as gold coatings, electron backscattering increases significantly, but the details also depend on the substrate's thickness.

• At very low energies (2 kV):resist sensitivity is higher, so faster writing, for optical mask fabrication with low resolution due to aberrations.

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Proximity effect

Practical conclusions II:

- Proximity effect is negligible for isolated/sparse fine features.
- It is good for *area* exposure (e.g. a big square >> I μm), since pixel can be much larger than beam spot size (right figure). E.g., beam step size (pixel) of 50nm is usually enough to give uniform areal exposure, even with a beam spot size of only 5nm.
- Proximity effect is worst for dense and fine patterns, such as grating with sub-50nm pitch and for high size accuracy.



Proximity effect

PE Correction

I. By software

Double Gaussian model. Simulation and correction

$$f(r) = \frac{1}{(1+\eta)\pi} \left\{ \frac{1}{\alpha^2} \exp\left[-\left(\frac{r}{\alpha}\right)^2 \right] + \frac{\eta}{\beta^2} \exp\left[-\left(\frac{r}{\beta}\right)^2 \right] \right\}$$

 α : range of forward scattering (in μ m) β : range of backscattering (in μ m) η : ratio of backscattering to forward scattering



Table-3.12. Double Gaussian fitting parameters at different conditions

| energy / keV | substrate | resist thickness/µm | $lpha/\mu$ m | <i>β</i> /μm | η |
|--------------|-----------|---------------------|--------------|--------------|---------|
| 25 | silicon | 0.2 | 0.019,7 | 2.295,4 | 0.479,7 |
| 50 | silicon | 0.5 | 0.016,5 | 6.689,1 | 0.314,6 |
| 50 | silicon | 0.5 | 0.025 | 5.896,2 | 0.194,1 |
| 50 | GaAs | 0.5 | 0.025 | 3.55 | 0.674 |



| Resist Thickness | Forward Scattering (α) | Backward Scattering | Ration (η) |
|------------------|-------------------------------|---------------------|-----------------|
| 300nm | Around 0.3um | Around 4.5um | 1.1 |
| 100nm | Around 0.2um | Around 3.5um | 0.9 |

Experimental values of α , β , η from our tests.

Values above were got by our own experiments under the conditions as bellow. HV : 30KV Substrate: Si Resist : ZEP520

Proximity effect

Simulation of dose distribution for 50 kV and 135 kV

Calculation by PEC Simulation Software Reset Auto · Substrate : Zero InP Corrected Output 100% · Resist : **ZEP520** Function C Line R.Thickness C 1pt : 300 nm @ 2pts Direction Auto 0% CX 0% 100% Simulated Result Mouse Position Simulation Dose Time Competion Field Size: 120um Change Dot Resolution: 10000dat um dose time: 7 51us Minimum dose time: 6 38us Scatter Parameter Resist Sensitivity 361.0 µC/cm² Default dose time: 10.40us Forward Scatter Coefficient Beam Current 50 pA 0.030 μm Enable **Dose Distribution** Dose Time: 10.40uSec/dot Backward Scatter Coefficient Max - Min _ 11 % ✓ Enable 9.100 μm Store this value as default Default Ratio Eta OK Cancel Need PEC 0.75 OK Cancel

Dose Distribution for 130 kV



Proximity effect



CRESTEC approach for dose correction

Proximity effect

PE Correction 2. Resizing patterns



Nominal feature

Widening due to PEC

Resizing for get nominal Feature or single line with dose variation Charging effects. Insulating substrate produce charge-induce error in position and shape (distortion).

Avoidance: conductive polymer (or metal) on top of the resist and use low e-beam currents (50-100 pA)



| Item | ESPACER 300 [™] | | |
|------------|-----------------------------------|-----|--|
| рН | Weak acid | | |
| Guarantee | 3 months | | |
| Shelf Life | at 5±3 degC | | |
| Impurities | < 50ppb | | |
| Sheet | 1 × 10 ³ | - | |
| Resistance | \sim 5 × 10 ⁷ ohm/sq | 中国人 | |



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Parameters to be chosen

| Parameter | Affects to |
|--------------------------------------|--|
| Exposure energy | Resolution, sensitivity, PE. |
| Beam size (beam current) | Resolution, throughput |
| Exposure dose | Pattern quality |
| Pattern density | PE |
| Resist material | Sensitivity, resolution, contrast, pattern transfer. |
| Resist thickness, resist multi stack | Sensitivity, resolution, pattern transfer |
| Developer temperature | Sensitivity, resolution, exposure window |
| Field size | Resolution, accuracy: Stig&Distortion and overlay |
| Field resolution | Resolution |

Table-3.8. Conventional e-beam resists and properties

| | | | negative | |
|------------------|----------------------------|------------------|---------------|--|
| Resist thickness | AB | Resist thickness | positive C | |
| | $D_0 D_1$ Exposure dose | | Exposure dose | |
| | (a) | | (b) | |

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| <u>, , , , , , , , , , , , , , , , , , , </u> | resist tone | resolution / nm | sensitivity* | developer |
|---|-------------|-----------------|--------------|-----------------------|
| PMMA | + | 10 | 100 | MIBK:IPA |
| ZEP-520 | + | 10 | 30 | xylene : p-dioxane |
| ma-N 2400 | _ | 80 | 60 | MIF726 |
| EBR-9 | + | 200 | 10 | MIBK:IPA |
| PBS | + | 250 | 1 | MIAK: 2-pentanone 3:1 |
| COP | _ | 1,000 | 0.3 | MEK : ethanol 7:3 |

* sensitivity measured at 20 keV beam energy, unit: μ C/cm².

Contrast definition

$$\gamma \equiv \frac{1}{\log_{10}(D_1 / D_0)}$$

Parameters to be chosen

Beam current vs. beam diameter



 $t = \frac{S \cdot x^2}{I_B}$

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Dry etch using a ICP. Very high aspect ratio and dense structures as resonator for bio-optical applications:

Beam current: 2 nA Single shot exposure, 500 nm pitch, 175 nm diameter Dose: 140 us 600 um field and 60.000 dots. Only using 300 um inner field Resist: PMMA. 3000 rpm, 10min@160°c Developer: AR-600-55, 3 min sonication 170 nm Nickel metallization and lift-off ICP etch: low density plasma: RF: 75 VV, HDP: 0VV, 20sccm CHF3, 4sccm O2 Time: 50 min, rate: 40 nm/min (total etch: 2 um)



Profile of Pmma resist helps to get a good lift-off



Resist after exposure and development. Pitch 500 nm. 175 nm diameter.



170 nm Nickel disc after lift-off.



2 micron deep high aspect ratio nanostructures.





ICP system with end point detection

Two layer approach for thick metallization:

First resist layer: LOR, 7B Microchem Diluted 2:1 in Cyclopentanone 250 nm or 650 nm no diluted Coating speed: 3000 rpm, 5 min@200°c Second resist: PMMA 950 K 2:1 in Chlorobenzene Diluted 2:1 in Chlorobenzene 260 nm thick Coating speed: 3000 rpm, 10 min@160°c Step I: e-beam exposure Step 2: PMMA development, AR-600-55, 3 min Step 3: LOR development, MF319, 30 s. Depend on undercut desired



Two resist stack plus metal deposition





No diluted LOR



^{2:1} diluted LOR



250 nm of Nickel before lift-off

Dry etch using a ICP. Very high aspect ratio and very deep etched structures of GaN for material X-ray analysis:

Beam current: 2 nA and 5 nA Single shot exposure, 1.2 um pitch, 530 nm diameter Dose: 300 us for 2nA and 47 um defocused. 140 us for 2 nA 500 um field and 60.000 dots. Only using 300 um inner field Resist: LOR/PMMA , 260/ 260 nm thick. Developer: AR-600-55, 3 min for PMMA and MF319, 30 s for LOR 500 nm Nickel metallization and lift-off ICP etch: high density plasma: RF: 250 VV, HDP: 250VV, 20sccm BcL3, 4sccm Cl2 Time: 12 min, rate: 0.3 um/m.



2 nA, 300 us, 47 um defocused.



5 nA, 140 us, resist profile and 500 nm Ni.



ICP etch: high density plasma: RF: 250 W, HDP: 250 W, 20sccm BcL3, 4sccm Cl2

HSQ for waveguide applications

Beam current: 5 nA Area dose: 1000 uc/cm2 300 um field and 60.000 dots. Resist: xr-1541 (6%) Dow Corning Thickness: 120 nm, 3000 rpm, 2min@90°c Developer: MF319 @ 50°c, 70s



Fig. 3.32 Contrast curves of HSQ resist at different development temperatures









Resist: xr-1541 (6%) Dow Corning for high contrast

Field Size/resolution: 60/60000.

Resist thickness: 40 nm

Beam current: 50 pA.

Developer: NaOH (1%)/NaCl(4%) in DI water during 4 minutes.

Dose: 750 uC/cm2





Negative tone resists: Ma-N direct writing for interferometric applications

Beam current: 100 pA Dose time single shot: 21 us 60 um field and 60.000 dots. Resist: Ma-N 4203 MicroChem Thickness: 200 nm. 5000 rpm. 2min@90°c Developer: MF319 , 70s Pitch:200 nm/Diameter: 50 nm Area dose: 230 uC/cm2



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Prototype: Surface Emission EB Lithography System. Pursuing throughput.



New concept: Massively Parallel EBL System based on nc-Si emitter array



Crestec, Tohoku Univ. and TUAT are jointly developing supported by the Cabinet Office in Japan



Massively parallel emitter

Exposure Test of nc-Si Emitter Array integrated with Active Matrix LSI

CMPL-6000



Nc-Si Emitter Array

Exposed Image on Target

< Exposure condition >

Acceleration Voltage:5.7 kV, Magnetic Field:0.56 T, Resist: ZEP520 (t 60 nm), Dose:30.0 uC/cm²

In optical devices such as DFB laser, pitch grating uniformity and pitch control along the device are critical for optical output and single frequency operation.

Pitch control is controlled since several years using the field size modulation (FSM) function

The grating pitch can be controlled by changing the deflection amplifier gain through the 2nd DA converter. Positioning accuracy is 0.01 nm.

Nowadays, the challenging reside in grating uniformity in 1.2 mm cavity lenght DFB lasers, to ensure optical output and single frequency operation, no stitching errors are needed, so the use of 1.2 mm field size is compulsory. Stig&distortion and focus should be corrected.

High resolution an accuracy writing for field size wider than 1 mm is been developed for general purpose fabrication



Thank you for your attention;;;